

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No.	: 10/551,604	Confirmation No. 2889
Applicant(s)	: REUFER, Christian et al.	
Filed	: 09/30/2005	
TC/A.U.	: 1753	
Examiner	: To Be Assigned	
Title	: Method for the Anodic Alkoxylation of Organic Compounds	
Docket No.	: 032301.429	
Customer No.	: 25461	

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**PETITION UNDER 37 CFR 1.10(c)**  
**AND THE MANUAL OF PATENT EXAMINING PROCEDURE § 513**

Now come Applicants and respectfully request that the filing date of September 29, 2005, granted for the above-identified application as shown on the enclosed copies of the Notice of Acceptance and Official Filing Receipt mailed September 5, 2006, be corrected to show that the actual filing date was September 30, 2005.

In accordance with the title 37 CFR 1.10(c)(i), the express mailing label is attached hereto showing receipt by the United States Postal Service with a date stamp of September 30, 2005 from the Atlanta, Georgia, Midtown Station of the United States Postal Service.

Applicants submit that the information recorded under "date in" of "9-30-05" on the express mail receipt corresponds to the date stamp of the Atlanta, Georgia post office, indicating "Sep 30 2005".

Accordingly, it is respectfully requested that the correct date of September 30, 2005 be indicated and that a new corrected filing receipt be issued in the near future.

PATENT

Also attached herewith are copies of the postcard submitted by undersigned counsel bearing the express mail label no. EV462308185US and the Certificate of Mailing Under 37 CFR §1.10 which also shows the express mail label no. (highlighted).

Accompanying this petition is an affidavit by Lucy Kimsey establishing that the correspondence was deposited in the U.S. Postal Service (USPS) at the Atlanta Midtown Station by her on September 30, 2005.

The granting of this petition is respectfully requested.

Respectfully submitted,



By: Robert G. Weilacher, Reg. No. 20,531

Dated: October 9, 2006  
SMITH, GAMBRELL & RUSSELL, LLP  
Suite 3100, Promenade II  
1230 Peachtree Street, N.E.  
Atlanta, Georgia 30309-3592  
Ph: (404) 815-3593  
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PATENT

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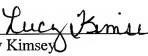
Docket No. : 032301.429  
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DECLARATION OF LUCY KIMSEY

I, Lucy Kimsey, hereby declare that I am a patent paralegal employed by the firm of Smith, Gambrell & Russell, LLP. In Atlanta, Georgia on September 30, 2005, I personally took to the U.S. Postal Service at the Midtown Station on West Peachtree Street in Atlanta, Georgia the application documents relating to the above-identified application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

October 9, 2006

  
\_\_\_\_\_  
Lucy Kimsey  
Patent Paralegal  
Smith, Gambrell & Russell  
Suite 3100, Promenade II  
1230 Peachtree Street, NE  
Atlanta, Georgia 30309-3592



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/551,604	Christian Reufer	032301.429

INTERNATIONAL APPLICATION NO.
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PCT/EP04/02950

LA. FILING DATE	PRIORITY DATE
03/20/2004	04/03/2003

25461

SMITH, GAMBRELL & RUSSELL  
 SUITE 3100, PROMENADE II  
 1230 PEACHTREE STREET, N.E.  
 ATLANTA, GA 30307-3592

CONFIRMATION NO. 2889

371 ACCEPTANCE LETTER



\*0C000000020262831\*

Date Mailed: 09/05/2006

## NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

09/29/2005

09/29/2005

DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
 (c)(4) REQUIREMENTS

DATE OF COMPLETION OF ALL 35 U.S.C. 371  
 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Indication of Small Entity Status
- Copy of the International Application filed on 09/29/2005
- English Translation of the IA filed on 09/29/2005
- Copy of the International Search Report filed on 09/29/2005
- Copy of IPE Report filed on 09/29/2005
- Preliminary Amendments filed on 09/29/2005
- Information Disclosure Statements filed on 09/29/2005
- Oath or Declaration filed on 09/29/2005
- Request for Immediate Examination filed on 09/29/2005
- U.S. Basic National Fees filed on 09/29/2005
- Priority Documents filed on 09/29/2005



## UNITED STATES PATENT AND TRADEMARK OFFICE

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Address: COMMISSIONER FOR PATENTS  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/551,604	09/29/2005	1753	450	032301.429		10	1

CONFIRMATION NO. 2889

25461  
SMITH, GAMBRELL & RUSSELL  
SUITE 3100, PROMENADE II  
1230 PEACHTREE STREET, N.E.  
ATLANTA, GA 30307-3592

## FILING RECEIPT



\*OC000000020262830\*

Date Mailed: 09/05/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Christian Reufer, Maintal, GERMANY;  
Konrad Möbus, Paducah, KY;  
Thomas Lehmann, Langenselbold, GERMANY;  
Christoph Weckbecker, Grundau-Liebkos, GERMANY;

**Power of Attorney:** The patent practitioners associated with Customer Number **25461**.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/02950 03/20/2004

## Foreign Applications

GERMANY 103 15 186.9 04/03/2003

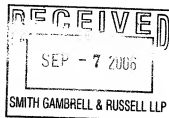
If Required, Foreign Filing License Granted: 07/25/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/551,604**

Projected Publication Date: 12/07/2006

Non-Publication Request: No

Early Publication Request: No



SCR-IP  
DOCKETED

9/7/06 baw

**\*\* SMALL ENTITY \*\*****Title**

Method for the anodic alkoxylation of organic compounds

**Preliminary Class**

205

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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**10/551604**

Inventor(s): REUFER, Christian et al.

Serial No.: To Be Assigned (National Phase of PCT/EP2004/002950)

Filing Date: Concurrent Herewith

Title: Method for the Anodic Alkoxylation of Organic Compounds

Papers Submitted:

- 1) \$1,000.00 Check;
- 2) Transmittal Letter to US DO/E/O/US (3 pages);
- 3) Description, including Specification (11 pages), Claims (2 pages), and Abstract (1 page);
- 4) Preliminary Amendment (5 pages);
- 5) Copy of PCT Request and International Application as filed on March 20, 2004 (25 pages);
- 6) International Publication No. 2004/087999 A2 and A3 (27 pages);
- 7) Declarations of Inventorship and Form PCT/IB/371 (9 pages);
- 8) Form PCT/IB/304 (1 page);
- 9) Form PCT/IB/308 (1 page);
- 10) Information Disclosure Statement and Form PTO/SB/08 with 6 cited foreign patent references (68 pages);
- 11) International Search Report (3 pages);
- 12) Certificate of Mailing Under 37 CFR 1.10 (1page); and
- 13) Return Receipt Postcard.

Sender:

Date Sent:

Atty. Dkt. No.:

RGW/lk

September 30, 2005 (Express Mail Label No. EV462308185US)

032301.429

**JC03 Rec'd PCT/PTO 29 SEP 2009**

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10**

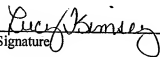
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on September 30, 2005

EV462308185US

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Signature

Lucy Kimsey

Inventor(s):	REUFER, Christian et al.
Serial No.:	To Be Assigned (National Phase of PCT/EP2004/002950)
Filing Date:	Concurrent Herewith
Title:	Method for the Anodic Alkoxylation of Organic Compounds
Atty. Dkt. No.:	032301.429

The correspondence includes the following documents:

1. \$1,000.00 Check for Filing Fee;
2. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 (3 pages);
3. Description, including Specification (11 pages), Claims (2 pages), and Abstract (1 page);
4. Preliminary Amendment (5 pages);
5. Copy of PCT Request and International Application as filed on March 20, 2004 (25 pages);
6. International Publication No. WO 2004/087999 A2 and A3 (27 pages);
7. Declarations of Inventorship and Form PCT/IB/371 Notification Relating to Declaration Made Under PCT Rule 4.17 (9 pages);
8. Form PCT/IB/304 Notification Concerning Submission or Transmittal of Priority Document (1 page);
9. Form PCT/IB/308 First Notice Informing the Applicant of the Communication of the International Application (1 page);
10. Information Disclosure Statement and Form PTO/SB/08a with 6 cited foreign patent references (68 pages);
11. International Search Report (3 pages);
12. Certificate of Mailing Under 37 CFR §1.10 (1 page); and
13. Return Receipt Postcard.